



Attorney Docket: 267665.0021

0460
8-1-01
#16

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Michael S. Terretta

Examiner:

Serial No.: 09/817,079

Group Art Unit: 2161

Filed: March 26, 2001

Confirmation No. 9836

For: IMPROVED AUTHENTICATION SYSTEM (PPV)

Commissioner for Patents
Washington, D.C. 20231

RESPONSE TO NOTICE OF
INCOMPLETE REPLY (NON-PROVISIONAL)

Sir:

In response to the Notice of Incomplete Reply (Non-Provisional) mailed July 27, 2001 (copy enclosed), Applicant respectfully requests reconsideration of the Notice because the Notice is believed to have been issued in error. Applicant respectfully submits that its prior submission, filed on June 21, 2001, fully addressed all open issues and that the application is now ready for substantive examination.

In support of Applicant's request for reconsideration, enclosed please find a copy of Applicant's Response to Notice to File Missing Parts of Application filed on June 21, 2001 and its enclosure, a Declaration, Power of Attorney and Petition ("Declaration") executed by Michael S. Terretta, the sole inventor of the subject application. Of note, the enclosed Declaration includes a signature block for a "second inventor" which was not completed because there is only a single inventor in the subject application. It is respectfully submitted that the enclosed Declaration fully complies with the requirements of 37 C.F.R. § 1.63.

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For the foregoing reasons, Applicant respectfully submits that the Response to Notice to File Missing Parts of Application filed on June 21, 2001, was fully responsive to the Notice to File Missing Parts of Application and, therefore, no additional fees are due in this application. However, if a fee is due to overcome the current Notice of Incomplete Reply (Non-Provisional), including without limitation, an extension of time pursuant to 37 C.F.R. § 1.136(a), the Commissioner is hereby authorized to charge such fees under 37 CFR § 1.16 and 1.17 which may be required, or credit any overpayment to Deposit Account No. 11-0231. A duplicate copy of this sheet is enclosed.

Respectfully Submitted,



Basam E. Nabulsi
Reg. No. 31,645

Date: August 3, 2001

Cummings & Lockwood
Four Stamford Plaza
P.O. Box 120
Stamford, CT 06904-0120

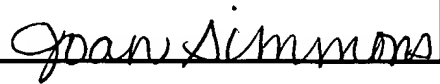
CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231

Date: 8/3/01

Name: Joan Simmons

Signature:



.StmLib1:900743.1 08/03/01



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/817,079	03/26/2001	Michael S. Terretta	267665.0021

CONFIRMATION NO. 9836

FORMALITIES LETTER



OC00000006353568

Basam E. Nabulsi
113 Charter Oak Drive
Wilton, CT 06897

Date Mailed: 07/27/2001

NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on 06/21/2001 to the Notice to File Missing Parts (Notice) mailed 05/07/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

- The oath or declaration is unsigned.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



Assistant Commissioner for Patents, Washington, D.C. 20231

PATENT

Sir: Kindly acknowledge receipt of the following papers by stamping and returning this card.

Serial No: 09/817,079 Filing Date: 3/26/01 Atty: BEN Client No: 267665.0021

Title: Improved Authentication System (PPV) Due Date: 7/7/01

- | | |
|---|---|
| <input type="checkbox"/> A patent application including pages of abstract, specification and claims | <input type="checkbox"/> Verified Statement Claiming Small Entity |
| <input type="checkbox"/> (Continuation) (Divisional) (CIP) (Provisional) (CPA) (Complete) (Design) Application | <input type="checkbox"/> Revocation And Substitute Power of Attorney |
| <input type="checkbox"/> _____ sheets of drawings <input type="checkbox"/> formal <input type="checkbox"/> informal | <input type="checkbox"/> Associate Power of Attorney |
| <input checked="" type="checkbox"/> Declaration of Power of Attorney <input checked="" type="checkbox"/> executed <input type="checkbox"/> unexecuted | <input type="checkbox"/> PCT Request |
| <input type="checkbox"/> Assignment | <input type="checkbox"/> PCT Demand |
| <input type="checkbox"/> Recordation Form Cover Sheet | <input type="checkbox"/> PCT Power of Attorney |
| <input type="checkbox"/> Application Transmittal Letter | <input type="checkbox"/> PCT Transmittal Letter to the U.S. Designated Election Office (DO/EO/US) |
| <input type="checkbox"/> Preliminary Amendment | <input checked="" type="checkbox"/> <u>Response To Notice To File</u> |
| <input type="checkbox"/> Amendment | <input type="checkbox"/> <u>Missing Parts of Application</u> |
| <input type="checkbox"/> Amendment Fee Transmittal Letter | <input type="checkbox"/> <u>Notice to File Missing Parts (copy)</u> |
| <input type="checkbox"/> Petition and Fee for Extension of Time | <input checked="" type="checkbox"/> Check in the amount of <u>\$65.00</u> |
| <input type="checkbox"/> Information Disclosure Statement | <input checked="" type="checkbox"/> Certificate of Mailing |
| <input type="checkbox"/> PTO Form 1449 and Copies of Cited References | <input type="checkbox"/> Certificate of Express Mailing |
| <input type="checkbox"/> Issue Fee Transmittal | No. _____ |
| <input type="checkbox"/> Submission of Formal Drawings | <input checked="" type="checkbox"/> Return postcard |
| <input type="checkbox"/> Maintenance Fee | Date <u>6/18/01</u> |
| <input type="checkbox"/> Certificate Under 37 CFR § 373 (b) | |



Attorney Docket: 267665.0021

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Michael S. Terretta

Examiner:

Serial No.: 09/817,079

Group Art Unit: 2161

Filed: March 26, 2001

Confirmation No. 9836

For: Improved Authentication System (PPV)

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Sir:

In response to the Notice to File Missing Parts of Application mailed May 7, 2001 (copy enclosed), enclosed is the Declaration signed by the inventor. A check in the amount of \$65.00 for the required fee for the late filing of a Declaration for a small entity in compliance with 37 CFR 1.27 is enclosed.

Respectfully Submitted,

Basam E. Nabulsi
Reg. No. 31,645

Date: June 18, 2001

Cummings & Lockwood
Four Stamford Plaza
P.O. Box 120
Stamford, CT 06904-0120

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231

Date: 6/18/01

Name: Joan Simmons

Signature:



CUMMINGS & LOCKWOOD
EXPENSE / DISBURSEMENT ACCOUNT

FLEET
01672 ONE ATLANTIC ST. OFFICE
STAMFORD, CONNECTICUT 06901
51-57-119

123519

THE SUM ***65 DOLLARS AND 00 CENTS

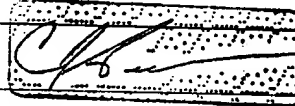
DATE
05/31/2001

AMOUNT
\$65.00*

Commissioner of Patents and
Trademarks

2 MANUAL SIG.'S REQ. CHECKS OVER \$10,000.00
1 MANUAL SIG. OVER \$1000.00

267665.0021



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